METRICS FOR ENTERING A CLUSTER ZONE

Geographic Area	TARGET METRIC FOR ENTERING YELLOW PRECAUTIONARY ZONE	TARGET METRIC FOR ENTERING ORANGE WARNING ZONE	TARGET METRIC FOR ENTERING RED MICRO CLUSTER ZONE	ADDITIONAL FACTORS FOR ENTERING ALL ZONES
Tier 4 Geographic area (ZIP, census tract, etc.) is located within a county of less than 50,000 people Counties in in Tier 4 include: Montgomery; Tioga; Cortland; Chenango; Greene; Allegany; Delaware; Orleans; Wyoming; Essex; Seneca; Schoharie; Lewis; Yates; Schuyler; Hamilton counties	Geographic area has 7-day rolling average positivity above 4% for 10 days AND Geographic area has 15 or more new daily cases per 100,000 residents on 7-day average	Geographic area has 7-day rolling average positivity above 5% for 10 days AND Geographic area has 15 or more new daily cases per 100,000 residents on 7-day average	Geographic area has 7-day rolling average positivity above 6% for 10 days AND Geographic area has 15 or more new daily cases per 100,000 residents on 7-day average	AND Geographic areas has minimum of 5 new cases per day on 7-day average for geographic areas (i.e. ZIP code) with 10,000 or more residents, minimum of 3 new cases on 7-day average per day for areas with less than 10,000 residents AND The increase in positive cases or positivity reflect community spread and cannot be mostly explained by a cluster in a single institution (e.g. nursing home, factory, college, etc.) or household transmission AND The State Department of Health (DOH), in consultation with the local department of health, finds that based on the above listed metrics, and other epidemiological factors, such as an upward trend in total and daily hospital admissions from residents of this geographic area, that a zone designation is appropriate.

METRICS FOR EXITING A CLUSTER ZONE

Geographic Area	TARGET METRIC FOR EXITING YELLOW PRECAUTIONARY ZONE	TARGET METRIC FOR EXITING ORANGE WARNING ZONE	TARGET METRIC FOR EXITING RED MICRO CLUSTER ZONE	ADDITIONAL FACTORS FOR ALL ZONE DESIGNATION DECISIONS
Tier 4 Geographic area (ZIP, census tract, etc.) is located within a county of less than 50,000 people Counties in in Tier 4 include: Montgomery; Tioga; Cortland; Chenango; Greene; Allegany; Delaware; Orleans; Wyoming; Essex; Seneca; Schoharie; Lewis; Yates; Schuyler; Hamilton counties	Geographic area demonstrates decline in positivity (daily 7-day rolling average) over 10-day period AND has positivity below 2% (7-day rolling average) for at least 3 consecutive days at end of 10-day period.	Geographic area demonstrates decline in positivity (daily 7-day rolling average) over 10-day period AND has positivity below 3% (7-day rolling average) for at least 3 consecutive days at end of 10-day period.	Geographic area demonstrates decline in positivity (daily 7-day rolling average) over 10-day period AND has positivity below 4% (7-day rolling average) for at least 3 consecutive days at end of 10-day period.	OR The State Department of Health (DOH), in consultation with the local department of health, may find that based on the above listed metrics, epidemiological considerations and/or other relevant factors, or other circumstances that a new zone designation is appropriate, or further data is required before a new zone designation can occur. Additional considerations include: • Trends in the daily hospital admissions from the geographic area • A finding that new cases are tied to a specific congregate facility, or defined cluster • Increased compliance and enforcement actions taken by local government Community cooperation to reduce viral spread